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22783 U.S. PTO

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PTO/SB/05 (01-04)

Approved for use through 07/31/2006. OMB 0651-0032
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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 3994994-149832

First Inventor Ting He

Title Holder for Sample

Express Mail Label No. EV403237792US

U.S. PTO

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10800553

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages 14] (preferred arrangement set forth below)	a. <input type="checkbox"/> Computer Readable Form (CRF)
- Descriptive title of the invention	
- Cross Reference to Related Applications	
- Statement Regarding Fed sponsored R & D	
- Reference to sequence listing, a table, or a computer program listing appendix	
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings (if filed)	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6]	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
5. Oath or Declaration [Total Sheets]	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney
a. <input type="checkbox"/> Newly executed (original or copy)	11. <input type="checkbox"/> English Translation Document (if applicable)
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	13. <input type="checkbox"/> Preliminary Amendment
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
	17. <input type="checkbox"/> Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.: 10/757,302.....

Prior application information:

Examiner _____

Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

 Customer Number: 23570 OR Correspondence address below

Name	Edwin M. Baranowski		
Address	Porter Wright Morris & Arthur LLP		
	41 South High Street		
City	Columbus	State	OH
Country	USA	Telephone	614/227-2188
Zip Code	43215		
Fax	614/227-2100		

Name (Print/Type)	<i>Edwin M. Baranowski</i>	Registration No. (Attorney/Agent)	27,4782
Signature	<i>Edwin M. Baranowski</i>	Date	March 15, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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22783

03-504

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 890)

Complete if Known

Application Number

March 15, 2004

Filing Date

Ting He

First Named Inventor

Examiner Name

Art Unit

Attorney Docket No.

3994994-149832

METHOD OF PAYMENT (check all that apply)

 Check Check card Money Other None
Order
 Deposit Account:

Deposit Account Number 16-2326
Deposit Account Name Porter Wright Morris & Arthur LLP

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fees) indicated below, except for the filing fee to the above-identified deposit account.

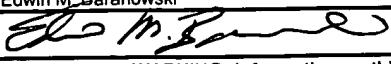
FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description		Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1001	750	2001	375	Utility filing fee
1002	330	2002	165	Design filing fee
1003	520	2003	260	Plant filing fee
1004	750	2004	375	Reissue filing fee
1005	160	2005	80	Provisional filing fee
SUBTOTAL (1) (\$)				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE				
Total Claims	18	-20** = 0	x 0 = 0	Fee Paid
Independent Claims	1	-3*** = 0	x 0 = 0	
Multiple Dependent			140 = 140	
SUBTOTAL (2) (\$890)				
Other fee (specify) _____				
* Reduced by Basic Filing Fee Paid				
SUBTOTAL (3) (\$)				

** or number previously paid, if greater; For Reissues, see above

(Complete if applicable)

SUBMITTED BY	(Complete if applicable)		
Name (Print/Type)	Edwin M. Baranowski	Registration No. (Attorney/Agent)	27,482
Signature			Date March 15, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PORTER WRIGHT MORRIS & ARTHUR LLP

Attorneys & Counselors at Law

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PATENTS AND TRADEMARKS

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March 15, 2004

By Express Mail Receipt No. EV403237792US
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventors: Ting He, et al.
Serial Number: To be assigned.
Filed: March 15, 2004
Title: HOLDER FOR SAMPLE MATERIALS USED IN HIGH THROUGHPUT
PHYSICAL VAPOR DEPOSITION MATERIAL STUDIES

Dear Sir:

For filing, we are enclosing the following in the above-referenced application for United States Letters Patent:

1. Utility Patent Application Transmittal, Form PTO/SB/05.
2. Fee Transmittal, Form PTO/SB/17, including an authorization to charge fees to Deposit Account 16-2326 (in duplicate).
3. Specification 14 pages, including Abstract, and 11 claims.
4. Drawings: 6 sheets, including Figures 1, 2, 3, 4A, 4B, 5A, 5B, and 6.
5. Application Data Sheet.

A Declaration and Power of Attorney from the inventors, Ting He, Eric R. Kreidler and Tadashi Nomura, will be filed later.

A Certificate of Filing by Express Mail follows on page 2 of this cover letter.

Please acknowledge receipt of the foregoing by stamping the enclosed postcard and returning it to us for our file.

Very truly yours,



Edwin M. Baranowski

Enclosures

Mail Stop Patent Application
Commissioner for Patents

Re: Inventor: Ting He, et al.
Serial Number: To be assigned.
Filed March 15, 2004
Title: HOLDER FOR SAMPLE MATERIALS USED IN HIGH THROUGHPUT
PHYSICAL VAPOR DEPOSITION MATERIAL STUDIES

CERTIFICATE OF FILING BY EXPRESS MAIL

I hereby certify that this Application United States Letters Patent comprising:

1. Utility Patent Application Transmittal, Form PTO/SB/05.
2. Fee Transmittal, Form PTO/SB/17, including an authorization to charge fees to Deposit Account 16-2326 (in duplicate).
3. Specification 14 pages, including Abstract, and 11 claims.
4. Drawings: 6 sheets of including Figures 1, 2, 3, 4A, 4B, 5A, 5B, and 6.
5. Application Data Sheet.

accompanied by an itemized postcard receipt, is being deposited with the United States Postal Service by Express Mail Receipt No. EV403237792US in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 15, 2004.



Edwin M. Baranowski